



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/777,686	02/07/2001	Noriaki Oshima	Q63063

CONFIRMATION NO. 3329

FORMALITIES LETTER



OC000000006002120

SUGHRUE, MION, ZINN, MACPEAK & SEAS, PLLC
2100 PENNSYLVANIA AVENUE, N.W.
WASHINGTON, DC 20037-3213

Date Mailed: 04/24/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

05/24/2001 TBESHAH1 00000033 09777686

FILED UNDER 37 CFR 1.53(b)

01 FC:102	80.00 OP
02 FC:105	130.00 OP
03 FC:109	130.00 OP

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The application was filed in a language other than English. Applicant is required to provide an English translation of the specification and a statement that the translation is accurate. (See 37 CFR 1.52(d)).
- Applicant must file an English translation of the application, the \$ 130 fee set forth in 37 CFR 1.17(i), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).
- **The balance due by applicant is \$ 260.**
- Because your specification was filed in a language other than English, the Office was unable to determine the number of claims submitted. Additional claim fees may be due once the number of claims can be determined.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing figures contain text that is not in English (including, for example, a flow chart that was originally not in English that has been marked up to include the English text) see 37 CFR 1.84(p)(2) and 37 CFR 1.52(d)(1);



#3

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Noriaki OSHIMA, et al.

Appln. No. 09/777,686

Group Art Unit: Not Yet Assigned

Confirmation No.: 3329

Examiner: Not Yet Assigned

Filed: February 07, 2001

For: OPTICAL RECORDING MEDIUM

EXCESS CLAIM FEE PAYMENT LETTER

Commissioner for Patents
Washington, D.C. 20231

Sir:

In response to the NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION -

Filing Date Granted, Applicants are submitting the English translation of the specification. With this submission,

Applicants discovered that additional claim fees are in order in the above identified application. The resulting

excess claim fee has been calculated as shown below:

	After Amendment		Highest No. Previously Paid For					
All Claims	22	-	22	=	X	\$18.00	=	\$0.00
Independent	4	-	3	=	1 X	\$80.00	=	\$80.00

TOTAL = \$80.00

A check for the statutory fee of \$80.00 is attached. Please charge any additional fee or credit any

overpayment to our Deposit Account No. 19-4880. A duplicate copy of this letter is enclosed.

Respectfully submitted,

Peter D. Olexy, PC
Registration No. 24,513SUGHRUE, MION, ZINN,
MACPEAK & SEAS, PLLC
2100 Pennsylvania Avenue, N.W.
Washington, D.C. 20037-3213
Telephone: (202) 293-7060
Facsimile: (202) 293-7860

Date: May 23, 2001

Attorney Docket No.: Q63063



PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Noriaki OSHIMA, et al.

Confirmation No.: 3329

Appln. No.: 09/777,686

Group Art Unit: Not Yet Assigned

Filed: February 7, 2001

Examiner: Not Yet Assigned

For: OPTICAL RECORDING MEDIUM

SUBMISSION OF EXECUTED DECLARATION

ATTN: BOX MISSING PARTS

Commissioner for Patents
Washington, D.C. 20231

Sir:

In response to the "Notice to File Missing Parts of Nonprovisional Application filed under 37 CFR 1.53(b) - Filing Date Granted," for the above application, Applicant respectfully submits herewith the Declaration and verified English language translation for the above identified application properly executed by the inventors. Also enclosed please find an Excess Independent Claim Fee letter and fee, a Submission of Priority Documents and an executed Assignment and PTO Form 1595.

Checks for the statutory fees of \$130.00 for the late Declaration fee, \$130 for the non-English specification fee, and the Assignment recordation fee of \$40.00 are attached. Please also charge any underpayment or credit any overpayment to Deposit Account No. 19-4880. A duplicate copy of this paper is attached.

Respectfully submitted,

Peter D. Olexy, PC
Registration No. 24,513

SUGHRUE, MION, ZINN,
MACPEAK & SEAS, PLLC
2100 Pennsylvania Avenue, N.W.
Washington, D.C. 20037-3213
Telephone: (202) 293-7060
Facsimile: (202) 293-7860
Date: May 23, 2001

Attorney Docket No.: Q63063



IN THE UNITED STATES PATENT & TRADEMARK OFFICE

IN RE APPLICATION OF: Noriaki Oshima, et al.

SERIAL NO. 09/777,686

FILED: February 7, 2001

FOR: OPTICAL RECORDING MEDIUM

CERTIFICATION OF TRANSLATION

HONORABLE COMMISSIONER OF PATENTS & TRADEMARKS
WASHINGTON, D.C. 20231

SIR:

RYOZO YAMAMOTO, of 11-6, Aoyamada 4-chome, Abiko-shi,
Chiba-ken, Japan, declares:

1. that I know well both the Japanese and English languages;
2. that I translated the attached document from Japanese to English;
3. that the attached English translation is a true and correct translation of the document attached thereto to the best of my knowledge and belief; and
4. that all statements made of my own knowledge are true and that all statements made on information and belief are believed to be true, and further that these statements are made with the knowledge that willful false statements and the like are punishable by fine or imprisonment, or both, under 18 USC 1001, and that such false statements may jeopardize that validity of the application or any patent issuing thereon.

DATE: May 9, 2001

BY: Ryzo Yamamoto
Ryozo YAMAMOTO



PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Noriaki OSHIMA, et al.

Appln. No.: 09/777,686

Group Art Unit: Not Yet Assigned

Confirmation No.: 3329

Examiner: Not Yet Assigned

Filed: February 07, 2001

For: OPTICAL RECORDING MEDIUM

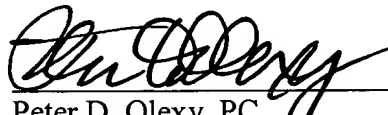
SUBMISSION OF FORMAL DRAWINGS

Commissioner for Patents
Washington, D.C. 20231

Sir:

Submitted herewith please find 4 sheets of formal drawings. The Examiner is respectfully requested to acknowledge receipt of these formal drawings.

Respectfully submitted,


Peter D. Olexy, PC
Registration No. 24,513

SUGHRUE, MION, ZINN,
MACPEAK & SEAS, PLLC
2100 Pennsylvania Avenue, N.W.
Washington, D.C. 20037-3213
Telephone: (202) 293-7060
Facsimile: (202) 293-7860

Date: May 23, 2001

Attorney Docket No.: Q63063